

Express Mail No. EM 061 010 128 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Monteiro et al.

Application No.: 09/110,369 Group Art Unit: 2414

Filed: July 6, 1998

Examiner: T. Peeso

For: MULTICASTING METHOD AND  
APPARATUS

Attorney Docket No.: 8850-005

CERTIFICATION UNDER 37 C.F.R. § 1.97 (e)(2)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned attorney for Applicant hereby states that no item of information contained in the Supplemental Information Disclosure Statement submitted concurrently herewith in the above-identified application was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

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Respectfully submitted,

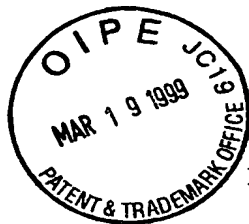
ANDREW SANDERS  
REG. NO. 49,433

Date March 19, 1999

FOR FRANCIS E. MORRIS 24,616  
Francis E. Morris (Reg. No.)

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PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090



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APPARATUS

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant."

Also submitted herewith are a Petition under 37 C.F.R. § 1.97(d) with the appropriate fee, and a Certification under 37 C.F.R. § 1.97(e)(2).

Identification of the listed references is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicants respectfully request that the Examiner review the references identified on the attached revised PTO

Form 1449, and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,



ANDREW SANDERS  
REG. NO. 90,433

Date March 19, 1999

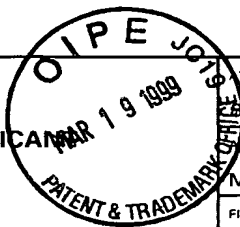
FOR FRANCIS E. MORRIS

24,616

Francis E. Morris

(Reg. No.)

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(212) 790-9090



## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

PAT. DOCKET NO.

27850-005

APPLICATION NO.

09/110,369

APPLICANT

MONTEIRO ET AL.

FILING DATE

July 6, 1998

GROUP

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,546,382	10/8/85	McKenna et al.	384	84	
	AB	5,132,992	7/21/92	Yurt et al.	375	122	
	AC	5,155,591	10/13/92	Wachob	358	86	
	AD	5,649,013	7/15/97	Stuckey et al.	380	4	
	AE	5,706,290	1/6/98	Shaw et al.	370	465	
	AF	5,862,329	1/19/99	Aras et al.	395	200.34	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AG							
	AH							
	AI							
	AJ							
	AK							

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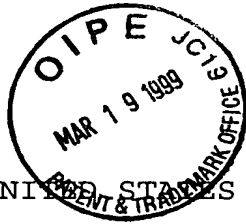
Group 2700

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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APPARATUS

**PETITION UNDER 37 C.F.R. § 1.97(d) (2)**

Assistant Commissioner for Patents

**Box ISSUE FEE**

Washington, D.C. 20231

Sir:

Applicants request that the references cited on the enclosed Information Disclosure Statement under 37 C.F.R. § 1.97(d) be considered.

The fee for this petition is set forth in 37 C.F.R. § 1.17(i). A fee of \$130.00 is believed to be due for this petition. Please charge the required fee to Pennie & Edmonds LLP's Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

*ANDREW SANDERS*  
REG. No. 40,933

Date March 19, 1999

*FOR FRANCIS E. MORRIS* 24,616  
Francis E. Morris (Reg. No.)

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Enclosure